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PATENT & TRADEMARK OFFICE

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/678,040 | 10/03/2000 | Jeffrey Marsden | 99999.1 |

J. Benjamin Bai, Ph.D.
Jenkins & Gilchrist
A Professional Corporation
1100 Louisiana, Ste. 1800
Houston, TX 77002-5214

FORMALITIES LETTER



OC000000005600395

Date Mailed: 12/06/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 420.

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

| | | |
|------------|-----------|-----------------|
| 05/09/2001 | 100447 | 09678040 |
| 02 FC:105 | 710.00 CH | |
| 05/16/2001 | TU0111 | 00000004 100447 |
| 02 FC:101 | 710.00 CH | |
| | | 12/06/2000 |



~~SECTORS~~
PATENT APPLICATION
Atty. Docket No. 50538.2USPT
#21

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Marsden et al.

Serial No.: 09/678,040

Filed: October 3, 2000

For: Peer Based Doctrine Performance
Framework

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Group No.: 2163

Examiner:

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this paper, with the indicated enclosures, is being deposited with the U.S. Postal Service, with sufficient postage as first class mail, in an envelope addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on

[Signature]
Signature

RESPONSE TO NOTICE TO FILE MISSING PARTS

Pursuant to the Notice to File Missing Parts of Application, *Filing Date Granted*, mailed December 6, 2000, Applicants file herewith the following documents:

- Copy of Notice To File Missing Parts of Application;
- Check for surcharge in the amount of \$130.00;
- Petition for Extension of Time and requisite fee of \$890.00;
- Executed Combined Declaration and Power of Attorney;
- Postcard.

The Assistant Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account 10-0447, reference 50538.2USPT(BAI).

Respectfully submitted,

JENKENS & GILCHRIST
A Professional Corporation

J. Benjamin Bai

J. Benjamin Bai, Ph.D.
Reg. No. 43,481

Date: 5/4/2001

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